Aquaculture Stewardship Council (ASC) Audit Plan

<table>
<tr><th rowspan="4">Site(s)</th><th rowspan="2">GTC Ref.</th><th rowspan="2">Site(s) Name(s)</th><th colspan="2">ASC Audit scope</th><th rowspan="2">Audit type</th><th colspan="3">Audit Dates</th></tr>
<tr><th>Standard</th><th>Version</th><th></th><th>Date</th><th>Time</th></tr>
<tr><td rowspan="2">ASC151</td><td rowspan="2">HF SU ÜRÜNLERİ ÜRETİM TİC. LTD. ŞTİ. - HF Abalık Üretim Tesisi Revize Projesi</td><td rowspan="2">ASC Freshwater Trout Standard</td><td rowspan="2">1.2</td><td rowspan="2">Initial audit</td><td>Star</td><td>Monday, 03 February 2025</td><td>09.00</td></tr>
<tr><td>End</td><td>Wednesday, 05 February 2025</td><td>17.30</td></tr>
<tr><th colspan="2" rowspan="3">Proposed Audit Team (NSF, ASC, ASI)</th><th colspan="2">Lead Auditor</th><td colspan="5">Tulay Varoglu</td></tr>
<tr><th colspan="2">Environmental Auditor</th><td colspan="5">Tulay Varoglu</td></tr>
<tr><th colspan="2">Social Auditor</th><td colspan="5">Tulay Varoglu</td></tr>
<tr><th colspan="2"></th><th colspan="2">Witnessing Auditor</th><td colspan="5">Jose Ignacio Llorente Lopez</td></tr>
<tr><th colspan="5">Expected number of management and workers interviews</th><td colspan="4">3</td></tr>
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1. Introduction

This Audit Plan is intended to outline the expected scope of the above upcoming audits and provides a draft work schedule and information about the audit process to allow you to properly prepare for the audit including a summary of the evidence that may be required by the audit team.

2. Proposed Audit Team

As discussed during the audit planning phase, we intend to use the audit team outlined above.

Should you have concerns relating to any of the proposed audit team members, please make us aware of this detailing your concerns and the rationale behind them when doing so. We will consider the merits of any concerns raised and take appropriate action and respond to you by outlining any actions taken, which may include leaving the team unchanged if warranted, and providing justification for those actions.

If you are satisfied with the proposed team, you do not need to respond. If no response is received, we will consider this acceptance of the proposed team.

Should you have any questions or require any further information about any of the proposed team members please don't hesitate to request further information.

3. Summary of the evidence that may be required by the audit team

The ASC Audit Manual, which was provided previously at the Application stage and is again attached along with this Audit Plan, presents a summary of the objective evidence, including actual performance data that may be required by the audit team.

4. Requirements for and process of stakeholder consultation

In ASC, stakeholders include any individuals, groups or organizations which may be affected by the entity seeking certification and Conformity Assessment Bodies (CABs) are required to consult with them at various points in the audit process/certification cycle.
We are required to create and maintain a list of all stakeholders relevant to each ASC site who are then notified of planned audits of that site and invited to participate. Stakeholder consultation may be undertaken during surveillance audits but is not required. Participation can include interviews with the audit team during the site visit portion of audits and/or the submission of written for consideration by the audit team. Note stakeholders can in fact submit comments at any time which are then generally addressed at the next scheduled audit.
Written submissions and a summary of verbal submissions are included in the audit report, including an explanation of how they have been addressed. For initial and re-certification audits, where we are required to publish a draft audit report on the ASC website and allow a stakeholder comment period not less than 15 working days, we are further required in writing to each stakeholder explaining how their comments were addressed prior to the publication of that audit report.
Finally, we are required to consider information submitted by stakeholders and interested parties when taking certification decisions.

5. Indicative Work Schedule

DATE	TIME	LOCATION	AGENDA/ACTIVITY	SITE STAFF	AUDITOR
Monday, 03 February 2025	09.00-09.30	Main office	**Opening Meeting** Agreement of the final details for the day and confirmation of: - Continued eligibility for certification - Confirmation of scope of the audit - Confirmation of the Unit of Certification - Roles and responsibilities of participating staff - Safety requirements		
Tuesday, 04 February 2025	09.00-17.30	Main office	**Document review** Review of the systems and procedures relevant to the standard, as recorded and implemented. Review of documentation and records relating to: - National Laws and Local Regulation - Conservation of natural habitat, local biodiversity and ecosystem function - Protection of wild populations - Traceability and sourcing of Feed - Control of escapes, - Nutrient loading and carrying capacity, - Environmental impacts, - Disease and parasite control, chemical inputs - Requirements for seedlings suppliers Review of relevant records to include, but not limited to Energy Consumption, Mortalities, EIA results, Stocking records,		
Wednesday, 05 February 2025	09.00-17.30	Main office	**Social assessment** Review of the systems and procedures relevant to the standard, as recorded and implemented. - Social impacts (i.e., labor and community impacts). - Workers interviews - Document review (contracts, working time records, policies and procedures, grievances and complaints, etc.)		

DATE	TIME	LOCATION	AGENDA/ACTIVITY	SITE STAFF	AUDITOR
Monday, 03 February 2025	10:30-12.30	Site	**Site Visit(s)** An inspection of each farm will be carried out. This will include interviews with the operating staff of the auditor's choice and a traceability exercise. Relevant technical/production staff should be available as the inspection proceeds around your premises. Site visit will include inspection of: - Official holding facilities identified: - Chemical and store areas, environmental sensitive areas, water courses, wells and other points of interest identified: - Harvest and handling process (where possible)		
Tuesday, 04 February 2025	09.00-17.30	Main office	**Traceability Test**		
Tuesday, 04 February 2025	09.00-17.30	Main office	**Input-Output Test**		
Wednesday, 05 February 2025	17.00-17.15	Main office	**Findings preparation** - Completion of summary of findings and detail non-conformities (if any).		
Wednesday, 05 February 2025	From 00:00 to 00:0017.15-17.30	Main office	**Closing meeting** - Re-confirmation of the audit scope and Unit of Certification. - Where applicable verification of close out of previous year's non-conformities. - Agreement of non-conformity status.		